Monash University Policy

<table>
<thead>
<tr>
<th>Policy Title</th>
<th>Research Outputs Data Collection Policy</th>
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<tbody>
<tr>
<td>Date Effective</td>
<td>05-June-2013</td>
</tr>
<tr>
<td>Review Date</td>
<td>05-June-2016</td>
</tr>
<tr>
<td>Policy Owner</td>
<td>Pro Vice-Chancellor (Academic Performance)</td>
</tr>
<tr>
<td>Category</td>
<td>Academic Quality and Standards</td>
</tr>
<tr>
<td>Version Number</td>
<td>1.0</td>
</tr>
<tr>
<td>Content Enquiries</td>
<td><a href="mailto:admin-rqt@monash.edu">admin-rqt@monash.edu</a></td>
</tr>
</tbody>
</table>

Scope
- All staff
- All students
- All campuses

Research outputs, including HERDC-eligible publications, such as journal articles, books, chapters and refereed conference papers; ERA-eligible outputs, including creative works, performances, recorded works, exhibitions and events.

Purpose
This policy defines the criteria for works to be recognised as research outputs for the purposes of reporting research activity both external to the university (such as for HERDC, ERA, MyRA and university ranking exercises) and internally (such as for performance reviews).

POLICY STATEMENT

Recognition of research outputs
Research advances knowledge, contributes new outcomes and produces outputs of demonstrable quality. The conduct of research is not the same as the demonstration of skills or expertise, nor is it professional practice. Creative works must be susceptible to exegesis: that is, the author or creator must be able to articulate the research element of the work.

It is important that Monash University correctly identify and classify research outputs for submission to external research assessment exercises and for internal reporting purposes, such as assessment of researchers’ performance and academic promotion.

Creative works can be considered research outputs for Monash University purposes if they meet the following criteria. The output must:
- meet the Australian government definition of research;
- have passed an independent quality assessment process (such as peer review, editorial scrutiny, curatorial selection for exhibition, commercial production, or professional public broadcast or performance);
- be supported by an exegesis or research statement; and
- be published, broadcast, exhibited or performed in the public arena.

These forms of research output may be either individually or collectively (when combined into a portfolio) considered as research at Monash University.

Exegesis
The exegesis is a statement of up to 200 words prepared by the author or creator of the output, which describes the research background, research contribution and research significance of the output. If the statement does not satisfy the criteria, the work will not be accepted as a research output, and will not be classified as such.

<table>
<thead>
<tr>
<th>Supporting Procedures</th>
<th>Research Outputs Data Collection Procedures</th>
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| Responsibility for implementation | Faculty Research Offices  
Gippsland Research Office  
Monash Malaysia Campus Research Office  
Pro Vice-Chancellor (Academic Performance) |
| Status | New |
| Approval Body | Academic Quality and Standards policies  
Name: Academic Board  
Meeting: 3/13  
Date: 05-June-2013  
Agenda item: 11.1 |
| Endorsement Body | Academic Quality and Standards policies  
Name: Monash Research Committee  
Meeting: 3/13  
Date: 21-May-2013  
Agenda item: 6.1 |
| Definitions | Research: the creation of new knowledge and/or the use of existing knowledge in a new and creative way so as to generate new concepts, methodologies, understandings, and applications. The university applies the definition provided in the HERDC specifications and ERA guidelines.  
Researchers: all Monash employees, adjuncts and students who produce research outputs in the course of their affiliation with Monash (affiliates and visitors who use a Monash by-line).  
A Research Output: a publication, report or creative output that meets the definition of research in the HERDC and ERA guidelines.  
ERA: Excellence in Research for Australia, a research assessment exercise conducted by the Australian Research Council.  
HERDC: Higher Education Research Data Collection, an annual count of research outputs (authored books, book chapters in edited books, peer reviewed journal articles and peer review, published conference papers) that forms part of the calculation of the Research Block Grants provided by the Australian Government to universities.  
MyRA: Malaysian Research Assessment Instrument, a research assessment exercise conducted by the Ministry of Higher Education, Malaysia. |
| Legislation Mandating Compliance |  |
| Related Policies | Authorship Policy |
| Related Documents |  |